



# Cybersecurity Services

# Who is Xantrion?

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Founded in 2000 to bring **enterprise-grade IT** to small and mid-sized organizations

**15 years of experience working with RIAs**

**Named to the CRN 2018 Managed Security 100 list**

Our security program is **unique and tailored** specifically for your organization.

Our engineering team members are **experts in their fields** including ISC2-certified security professionals.



Our data centers and  
**cybersecurity practices**  
are independently  
audited and certified.



# The Cybersecurity Challenge

# SEC/FINRA Cybersecurity Guidance

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## Technical Safeguards

Enforce multifactor authentication

Use Identity management

Deploy breach detection capabilities

Provide protection for data on mobile devices

Maintain centralized security logs

Regularly test for and remediate vulnerabilities

# SEC/FINRA Cybersecurity Guidance

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## Administrative Safeguards

Provide security awareness training and testing

Inventory your sensitive data

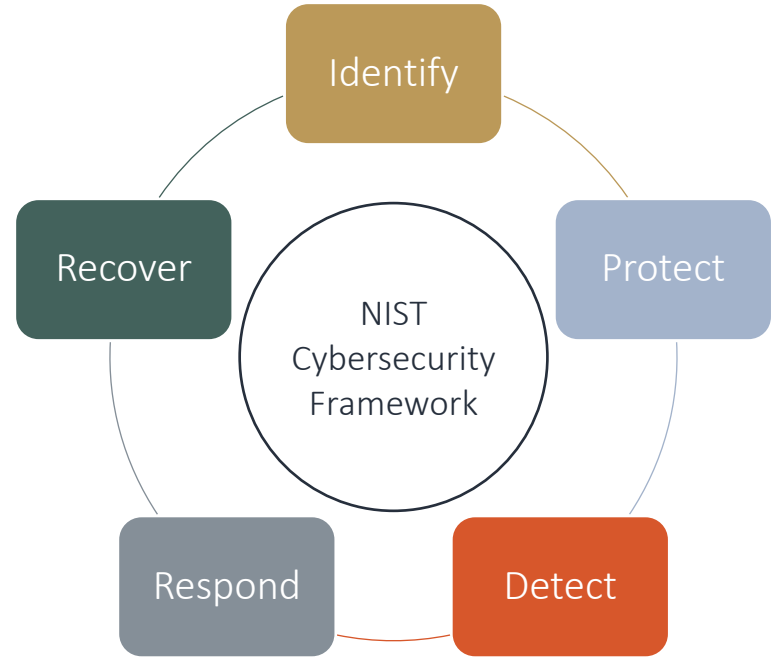
Maintain cybersecurity performance reporting

Regularly review your cybersecurity program

# The Cybersecurity Solution

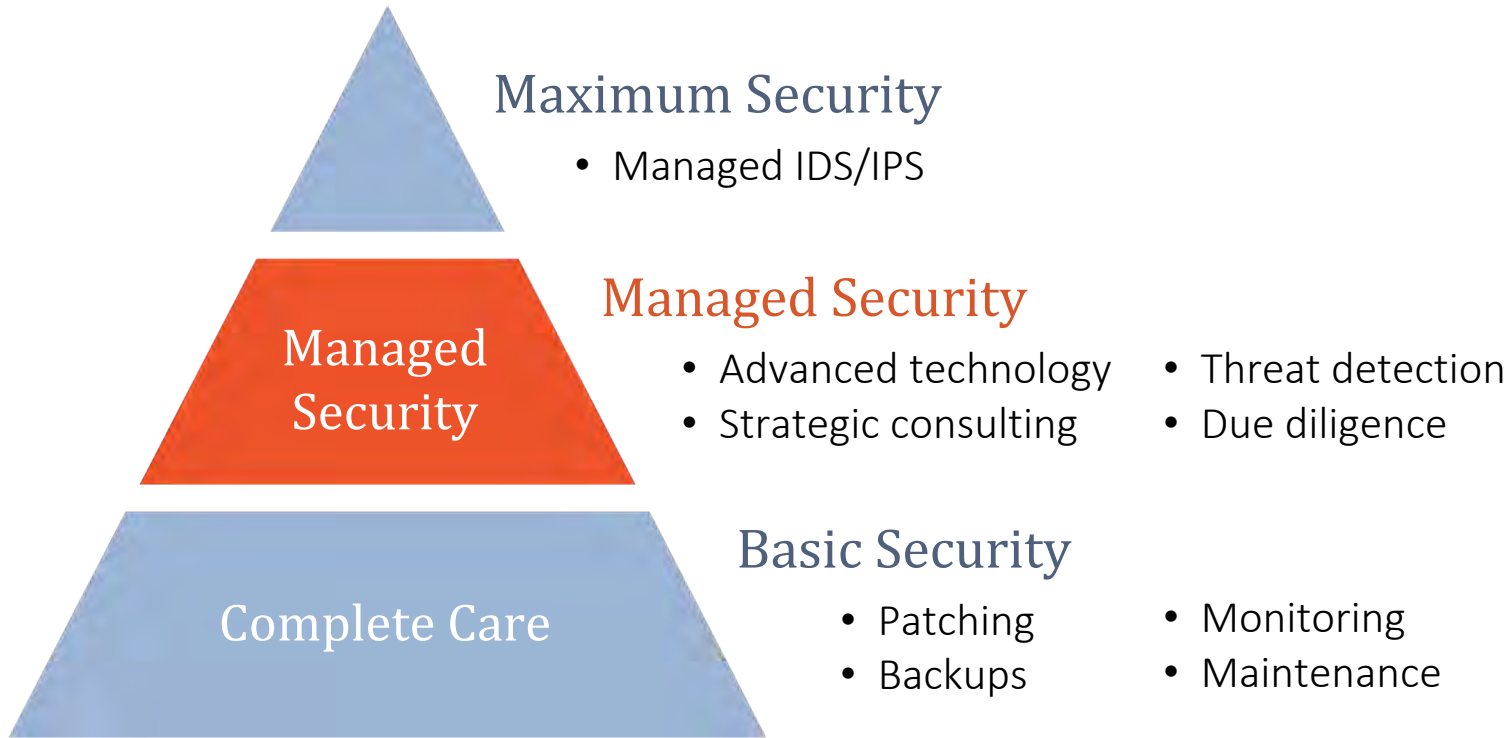
# Standard Controls Framework

Our security program is built on the **NIST Cybersecurity Framework**, a leading industry standard recommended by the SEC





# Meets SEC/FINRA Guidelines



# Designed for You

SEC Guidance	Complete Care	Managed Security
Regular system maintenance	✓	
Security patching	✓	
Maintain inventory of your systems	✓	
Monitor and test backups	✓	
Manage access rights for users	✓	
Maintain current threat intelligence	✓	
Deploy advanced safeguards		✓
Security awareness training		✓

# Designed for You

SEC Guidance	Complete Care	Managed Security
Deploy breach detection capabilities		✓
Protect data on mobile devices		✓
Maintain centralized security logs		✓
Test and remediate vulnerabilities		✓
Inventory your sensitive data		✓
Quarterly cybersecurity reporting		✓
Annual cybersecurity review		✓



## Cybersecurity Program Goals

Manage cybersecurity risk

Achieve compliance

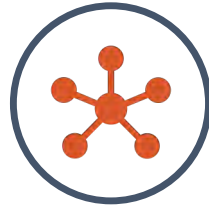
# Our Unique Approach

## Consulting



We will work with your team to develop a cybersecurity program tailored for your specific needs

## Advanced Technology



We bring together the most effective security solutions from leading security vendors into a single cohesive solution

## Proven Practices



Cybersecurity is an ongoing commitment, Our best practices will help you mitigate risk and achieve compliance

# Consulting

We'll work with you to develop a **cybersecurity roadmap** to identify threats and manage risk.

We meet with you annually to update policies and refresh your roadmap during an **annual security review**.

We'll record **annual meeting minutes** to prove management due diligence.

We'll help you prepare for an audit with our **audit support** services.

We'll **assess the cybersecurity practices of critical vendors**.



# Advanced Technology

Advanced Internet and Email Filtering

User Behavior Analytics

Centralized Logging

Identity Management

Multifactor Authentication

Mobile Device Management





# Proven Practices

We'll **identify and remediate vulnerabilities** on your network each quarter.

Our regular **sensitive data scans** will help you inventory your sensitive data and ensure it is properly protected.

A custom designed and delivered **security awareness training** program will keep your staff informed and vigilant.

We'll launch a formal **incidence response** should a significant security incident occur.





“  
*Cybersecurity is an ongoing **process**,*  
  
*one we are constantly **evolving**.*”

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# Included Products and Services

## Products

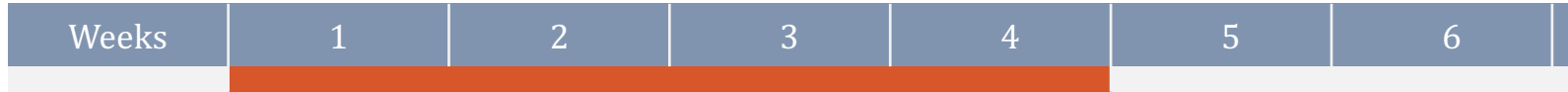
- Enterprise Mobility and Security E3
  - Mobile Device Management\*
  - Multifactor Authentication\*
  - Identity Management\*
  - Information Protection\*
  - Account Analytics
- Advanced Email Protection
- Advanced Web Filter
- User Awareness Training

## Services

- Best Practice Security Controls
- Annual Cybersecurity Roadmap
- Quarterly Vulnerability Scan and Remediation
- Quarterly Sensitive Data Scan
- Annual Risk Review
- Annual Security Policy Review

*\* May require additional setup costs*

# Implementation Timeline



Deploy backend security tools to immediately improve security posture.

- Log Aggregation
- Advanced Threat Analytics
- KnowBe4 User Training
- Office 365 ATP
- Cisco Umbrella Agent deployed to Pilot group



Managed Security service billing begins

# Implementation Timeline

